

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

October 22, 2001

Date of Deposit

Vincent J. Gnoffo, Reg. No. 44,714

Name of Applicant, Assignee or
Registered Representative

Vincent J. Gnoffo

Signature

October 22, 2001

Date of Signature

Our Case No.: 10781/9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ioannis Pallikaris et al.

Serial No.: 09/911,356

Filing Date: July 23, 2001

For: DEVICE FOR SEPERATING THE
EPITHELIUM LAYER FROM THE
SURFACE OF THE CORNEA OF
AN EYE

Examiner: Not yet assigned

Group Art Unit No.: 3731

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

COPY OF PAPERS
ORIGINALLY FILED

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
Terry J. Van Der Werff, D.Phil., <i>A New Single-Parameter Ocular Rigidity Function</i> , Vol. 92, pgs. 391-395 (1981).
Winston Roberts, M.D., and J. William Rogers, M.D., <i>Postural Effects on Pressure and Ocular Rigidity Measurements</i> , pgs. 111-118 (Assumed published prior to filing date).
Peter P. Purslow, PhD, Wojciech S.S. Karwatowski, FROCOphth, <i>Ocular Elasticity</i> , pgs. 1686-1692 (1996).
Joseph N. Simone, MD and Marc M. Whitacre, MD, <i>The Effect of Intraocular Gas and Fluid Volumes on Intraocular Pressure</i> , Ophthalmology, Vol. 97, No. 2, pgs.238-243 (1990).
John E. Eisenlohr, M.E. Langham and A.E. Maumenee, <i>Manometric Studies of the Pressure-Volume Relationship in Living and Enucleated Eyes of Individual Human Subjects</i> , Brit. J. Ophthal., Vol. 46, pgs. 536-548 (1962).
Richard F. Brubaker, <i>Tonometry</i> , Clinical Ophthalmology, Vol. 3, Chap. 47, pgs. 1-7 (Assumed to be published before filing date).
Jonas S. Friedenwald, M.D., <i>Tonometer Calibration</i> , pgs. 108-123 (1957).
Carsten Edmund, <i>Corneal Elasticity and Ocular Rigidity in Normal and Keratoconic Eyes</i> , Acta Ophthalmologica, Vol. 66, pgs. 134-140 (1988).
Ephraim Friedman, MD, Sara Krupsky, MD, Anne Marie Lane, MPH, Setsuko S. Oak, Eric S. Friedman, MD, Kathleen Egan, MPH, Evangelos S. Gragoudas, MD, <i>Ocular Blood Flow Velocity in Age-Related Macular Degeneration</i> , Ophthalmology, Vol. 102, No. 4, pgs. 640-646 (1995).
Mark W. Johnson, MD, Dennis P. Han, MD, Kenneth E. Hoffman, MS, <i>The Effect of Scleral Buckling on Ocular Rigidity</i> , Ophthalmology, Vol 97, pgs. 190-195 (1990).
Evangelos S. Gragoudas, MD, Suresh R. Chandra, MD, Ephraim Friedman, MD, Michael L. Klein, MD, Micael Van Buskirk, MD, <i>Disciform Degeneration of the Macula</i> , Arch Ophthalmol, Vol. 94, pgs. 755-757 (1976).

Ephraim Freidman, MD, *A Hemodynamic Model of the Pathogenesis of Age Related Macular Degeneration*, pgs. 1-14 (1997).

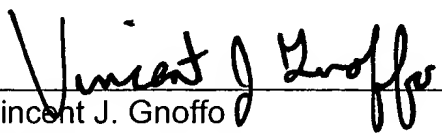
Jeffrey B. Robin, MD *Overview of Microkeratomes*, (Assumed to be published before filing date).

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Vincent J. Gnoffo
Registration No. 44,714
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200

Page 2 of 2

FORM PTO-1449	SERIAL NO. 09/911,356	CASE NO. 10781/9
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE July 23, 2001	GROUP ART UNIT 3731
(use several sheets if necessary)		APPLICANT(S): Ioannis Pallikaris et al.

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
✓	A6	Richard F. Brubaker, <i>Tonometry</i> , Clinical Ophthalmology, Vol. 3, Chap. 47, pgs. 1-7 (Assumed to be published before filing date).
	A7	Jonas S. Friedenwald, M.D., <i>Tonometer Calibration</i> , pgs. 108-123 (1957).
	A8	Carsten Edmund, <i>Corneal Elasticity and Ocular Rigidity in Normal and Keratoconic Eyes</i> , Acta Ophthalmologica, Vol. 66, pgs. 134-140 (1988).
	A9	Ephraim Friedman, MD, Sara Krupsky, MD, Anne Marie Lane, MPH, Setsuko S. Oak, Eric S. Friedman, MD, Kathleen Egan, MPH, Evangelos S. Gragoudas, MD, <i>Ocular Blood Flow Velocity in Age-Related Macular Degeneration</i> , Ophthalmology, Vol. 102, No. 4, pgs. 640-646 (1995).
	A10	Mark W. Johnson, MD, Dennis P. Han, MD, Kenneth E. Hoffman, MS, <i>The Effect of Scleral Buckling on Ocular Rigidity</i> , Ophthalmology, Vol 97, pgs. 190-195 (1990).
	A11	Evangelos S. Gragoudas, MD, Suresh R. Chandra, MD, Ephraim Friedman, MD, Michael L. Klein, MD, Micael Van Buskirk, MD, <i>Disciform Degeneration of the Macula</i> , Arch Ophthalmol, Vol. 94, pgs. 755-757 (1976).
	A12	Ephraim Friedman, MD, <i>A Hemodynamic Model of the Pathogenesis of Age Related Macular Degeneration</i> , pgs. 1-14 (1997).
g	A13	Jeffrey B. Robin, MD <i>Overview of Microkeratomes</i> , (Assumed to be published before filing date).

EXAMINER <i>V. Wilson</i>	DATE CONSIDERED <i>2/14/03</i>
------------------------------	-----------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**COPY OF PAPERS
ORIGINALLY FILED**

HH

HB Seder/

TRANSMITTAL LETTER			Case No. 10781/9
Serial No. 09/911,356	Filing Date July 23, 2001	Examiner Unknown	Group Art Unit 3731
Inventor(s) Ioannis Pallikaris et al.			
Title of Invention DEVICE FOR SEPARATING THE EPITHELIUM LAYER FROM THE SURFACE OF THE CORNEA OF AN EYE			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Cover Letter (1p. Filed in Dup.); Notice to File Missing Parts (2pp.); Response to File Missing Parts (2p.); Check in the amount of \$502 to cover Filing Fee and surcharge; Assignment Recordation (1p.); Check in the amount of \$40 to cover Recordal of Assignment; Executed Assignment (2pp.); Executed Declaration (2pp.); Executed Power of Attorney (1p.); Information Disclosure Statement (2pp. Filed in Dup.); Form PTO 1449 (1p. 13 cited references); and PTO Reply Postcard

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	23	Minus	20	3
Indep.	1	Minus	3	
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9=	27
x 42=	
+\$140=	
Total add'l fee	\$27

Other Than Small Entity

Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$502 to cover the filing fee and surcharge and a check for \$40 to cover recordal of assignment are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

02/01/2002 JADD01 00000049 09911356

04 FC:581 40.00 DP

Void date: 02/01/2002 JADD01

02/01/2002 JADD01 00000049 09911356

04 FC:581 40.00 DP

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 22, 2001.

Date:

Oct. 22, 2001

Signature:

Vincent J. Gnoffo

Respectfully submitted,

Vincent J. Gnoffo
Registration No. 44,714
Attorney for Applicant

Reg'n. Ref: 02/01/2002 JADD01 0010310000
DAH: 231925 Name/Number: 09911356
FC: 704 \$40.00 CR

COPY OF PAPERS
ORIGINALLY FILED